



# UK Shared Prosperity Fund

Derby City Investment Plan

## Local challenges and opportunities

In this section, we will ask you:

- If you've identified any challenges or opportunities, you intend to support
- Which of the UKSPF investment priorities these fall under

### **ARE THERE ANY LOCAL CHALLENGES YOU FACE WHICH FALL UNDER THE COMMUNITIES AND PLACE INVESTMENT PRIORITY?**

**(If yes) Describe these challenges, give evidence where possible**

We have identified our key challenges for Community & Place as:

- Deprivation - the city is the 67th most deprived authority in England with some pockets of very high levels of deprivation. 16% of neighbourhoods within the city are within the most deprived 10% nationally and 34% within the most deprived 20%. 1 in 3 residents live in an area regarded as one of the top 20% most deprived in England. Socio economic outcomes are worse for those living in deprived areas of the city.
- Social mobility and child poverty - Derby is a social mobility 'cold spot' with increasing rates of child poverty. Almost 12,000 children aged 0-15 in the city are in relative low income families (DWP, 2021). This is a rate of 22% compared to a rate of 19% for the UK. Rates differ across the city with the highest ward rate at 46% and lowest at 6%. The rising cost of living crisis will impact detrimentally on household incomes in the city.
- Health inequalities - healthy life expectancy in Derby is lower than the regional and national rates (PHE, 2018-20). Life expectancy at birth is 77.7 years for males and 81.5 years for females, lower than the England averages (PHE 2018-20). Inequality in life expectancy at birth within Derby is over 10 years for males and females, higher than the national and regional rate (PHE, 2017-19). Derby has higher levels of excess winter deaths (21.3%) compared to nationally (17.4%) (ONS, 2020) and higher rates of under 75 mortality from all causes, 417.3 compared to 336.5 per 100,000 population nationally (OHID).
- Wellbeing - measures for Derby show scores slightly less than for the national average for happiness, life is worthwhile and satisfaction and slightly lower levels of anxiety (ONS, personal wellbeing survey 2021).
- Fuel poverty - 16.4% of households (18,008) were in fuel poverty in 2020 (BEIS LILEE measure, 2020) compared to 14.2% in the East Midlands and an average of 13.2% in England. The energy efficiency median score for all dwellings is 65.0 for all dwellings (energy efficiency band D) compared to a score of 66 for East Midlands and England (ONS, 2021).
- Housing standards - the estimated proportion of private sector housing not meeting the decent homes standard is 21.4% (Private sector stock condition survey, 2019). Compared to 19.4% in the East Midlands and 21.6% nationally.
- City centre decline – dwindling visitor numbers during COVID, over reliance on retail sector and growing rates of vacancies. There is a strong cultural sector (Derby Theatre, Derby Museum, QUAD, Deda etc) yet a lack of investment to fund a strategic cultural offer that could support vibrancy in the city centre and beyond.
- Climate change – the growing impact of climate change means we have a number of properties at risk of flooding (with an ongoing programme of flood mitigation via 'Our City Our River'. We are not maximising our green and blue areas to drive vibrancy, attract visitors and support well-being.
- Perceptions of safety - both crime levels and perceptions that crime is a problem are higher than national and regional comparators.

**ARE THERE ANY LOCAL OPPORTUNITIES UNDER THE COMMUNITIES AND PLACE INVESTMENT PRIORITY THAT YOU INTEND TO SUPPORT?**

**(If yes) Describe these opportunities, give evidence where possible**

The Derby Partnership Board has agreed a number of priorities to improve the city and the life experiences of our citizens. In partnership, we are working together to improve outcomes across four key themes:

- Green city - protecting and enhancing our environment
- Vibrant city - reimagining our city with culture at its heart
- City of growth - creating a modern smart city with jobs and skills for the future
- Resilient city - working with our communities to improve health, wealth, and opportunities.

We have used these themes to inform our evidence gathering around the challenges and opportunities to maximise outcomes through the application of UKSPF over the next three years. Our priority outcomes map closely to the Levelling Up Missions. Agreed city outcomes which align with Community & Place investment priority:

**Green**

- Cleaner air and lower CO2 emissions – working closely with businesses and communities to access lower carbon solutions, providing advice and support and promoting wider behaviour change.
- Enhanced green and blue spaces – we've identified opportunities to 'green the grey', improve public realm, bring colour to our streets and public spaces, improve well-being through access to green space, encouraging greater biodiversity – working closely with partners.

**Vibrant**

- Destination of choice, dynamic and diverse city centre – we are currently undertaking a conversation with the city to develop a clear longer term vision for the city centre and there are a number of opportunities linked to this.
- Exciting cultural offer representative of communities – we have a strong cultural offer in the city and recently bid for City of Culture 2025. As part of that, we want to build cultural and tourism activity and strategic offers in support of greater vibrancy and growth.

**Resilient**

- Reduced inequalities, with healthier and wealthier residents – we are working to tackle the causes of inequality (for example, established a Poverty Commission, working to mitigate the cost of living pressures, promoting well-being through education and awareness raising, improving housing standards).
- Empowered strong and independent communities – through our asset based approaches, we work closely with communities to build capacity and promote independence (for example, Alliance model).

**ARE THERE ANY LOCAL CHALLENGES YOU FACE WHICH FALL UNDER THE SUPPORTING LOCAL BUSINESS INVESTMENT PRIORITY?**

**(If yes) Describe these challenges, give evidence where possible**

Key challenges include:

- Productivity, gross value added (GVA) per hour worked is £31.8 which is 10.8% less than the GVA per hour for England (ONS, 2019).
- Gross Disposable Household Income, per head was £16,793 in 2019 less than the East Midlands and England comparison (ONS, 2019).

- Historic strengths in Rail and Aerospace (account for one third of Derby's GVA) means we have a need to diversify and build strength in sectors such as Professional Services, Digital and Technology where we are underrepresented compared to National figures.
- Business closure rate of 47 per 10,000 of population is ahead of England's average of 32 per 10,000.
- Minimal equity investment and private debt activity into our companies and a lack of established local Angel Investor networks means that only 15% of those equity stake investments that do occur come from local investors. As a region, the East Midlands has accounted for just 2% of equity investments since 2011 and 6% of private debt activity in whilst hosting 7% of the business population which is also reflected locally in Derby.
- Addressing the City's entrepreneurial deficit where we have 369 businesses per 10,000 of our population compared to National average rate of 504.

**ARE THERE ANY LOCAL OPPORTUNITIES UNDER THE SUPPORTING LOCAL BUSINESS INVESTMENT PRIORITY THAT YOU INTEND TO SUPPORT?**

**(If yes) Describe these opportunities, give evidence where possible**

We will support initiatives and opportunities that will begin to respond to the Derby economy challenges described in the previous section and have chosen our interventions and outcome focus specifically to support that.

We will also work within the Derby Partnership Board priority themes for improving the city and life experiences of our citizens. Our interventions will support all the themes; Vibrancy, Resilience, Green and most directly Growth:

- Supporting people into employment
- Working with partners such as the University of Derby, Marketing Derby, the East Midlands Chamber of Commerce
- Support and strengthen the existing business base and sectors
- Increased business start-up and retention rate

Further detail is provided in our Supporting Local Business interventions description.

**ARE THERE ANY LOCAL CHALLENGES YOU FACE WHICH FALL UNDER THE PEOPLE AND SKILLS INVESTMENT PRIORITY? (In Scotland, Wales, and Northern Ireland this should also include challenges relating to Multiply)**

**(If yes) Describe these challenges, give evidence where possible**

Key challenges include:

**People:**

- Economic Inactivity: The rate of economic inactivity in Derby is 23.4%, which is higher than within the EM region (22.4%) and the UK (21.8%).
- Females: We have a high rate of economic inactivity among women – 59% of our economically inactive are women (ONS, July 2022). The rate of female economic inactivity in Derby is 28.5%, compared to the East Midlands (25.4%) and the UK (25.3%) and has been growing year on year, in particular in the last 5 years.
- Long Term Sickness: Economic inactivity due to long term sickness is 31.3% in Derby compared to 24.7% regionally and 24.6% nationally.

- NEET (16-18): The NEET rate in Derby is 5.2% (May 2022). This significantly higher than a NEET rate of 2.6% for the EM and 2.8% in England (DWP data).
- Ward-based inequality: There are some clear disparities across wards in Derby which impact employment, health and social mobility. We therefore have a local levelling up challenge. For example, our most affluent wards (Mickleover and Allestree) have 3% unemployment, compared to our most deprived (Normanton and Arboretum) which have 25% unemployment.
- Refugees and Migrants – Derby has seen an increase to 10,000 non-British national since 2011, with 15.9% of Derby residents being born outside the UK (a rise of 3.2%). The majority of these live within our most deprived wards, those with the highest unemployment rates (70% of residents within Normanton and Arboretum are from minority groups). This poses a number of challenges in terms of levelling up, employment and underemployment (considering our skills demands) and we foresee greater challenge as number are estimated to increase.

#### **Skills:**

- GCSE attainment: in Maths and English by age 19 is 68.9% in Derby, lower than the East Midlands and England average of 71.4% and 73% respectively (DfE, 2020-21) and we recognise this challenge and the need to create pathways into employment linking to Educational Investment Area funding.
- Recruitment and Current Skills Demand: There is a clear mismatch between demand and supply of skills. Employers from various sectors, including Construction, Engineering, Health and Social Care and Education have described their challenge to recruit. We therefore recognise there is a need to work collaboratively with education and skills providers, as well as skills commissioner and employers, to create pathways and fastrack vocational routes to gain the skills required to meet employer demand. We will work to review skills needs within the city and identify priority sectors to support.
- Complex landscape of provision/ Funding: with DfE funded provision overlaid with ESF and NHS, there is a need to better understand the skills landscape locally; to make informed decisions going forward.
- Housing and Skills: We face significant issues in the city around domestic energy (in)efficiency and consequent fuel poverty (with 27.9% of homes being at EPC E or lower) which result in significant financial and health inequalities. Improving domestic energy efficiency will also have a significant impact on reducing carbon emissions. For this, we require Construction (built environment – to meet the current shortage of skills) and Green (construction-related) skills, to meet the need to install energy efficiency measures. These skills are in short supply and result in blockages to delivering energy efficiency improvements. This is a key challenge the city looking to address.

#### **Other:**

- ESF contracts: We recognise the challenge of ESF funding coming to an end and the SPF allocation being 1/8 of the amount. The D2N2 LEP was incredibly successful in bringing ESF funding into the region with £44.5M of ESF being delivered across the region in 2022-2023 alone. Therefore we will face a very steep cliff edge of provision 2023 onwards and the challenge will be to manage this, considering the lack of SPF in Year 2 and the lack of SPF funding in totality.
- SPF funding priority – delivering outcomes: We recognise the challenge particular under People and Skills, of the priority of delivery being in year 3 only, which then curtails the potential impact of programmes, having only one year to set-up, and deliver employment outcomes. Managing outcomes, considering this, will be challenging.

**ARE THERE ANY LOCAL OPPORTUNITIES UNDER THE PEOPLE AND SKILLS INVESTMENT PRIORITY THAT YOU INTEND TO SUPPORT? (In Scotland, Wales, and Northern Ireland this should also include challenges relating to Multiply)**

**(If yes) Describe these opportunities, give evidence where possible**

- Since SPF is now commissioned by the Local Authority, this offers an opportunity to work more strategically with other commissioners of People and Skills funding, where we can jointly identify priorities to support.
- There is opportunity to review impact and better understand the skills landscape to make more informed decision about where funding is best utilised.
- Opportunity to embed SPF funded provision within existing services and alongside existing funding.

Interventions

In this section, we will ask you about:

- Interventions you've chosen for each year of funding
- Outcomes you want to deliver
- Any interventions that are not listed here
- How these interventions fall under the UKSPF investment priorities, and your rationale for them
- Interventions not included in our list will be assessed before being approved, where you will need to show a clear rationale, how the intervention is value for money, what outcomes it will deliver and how you will monitor and evaluate the intervention. This may include a theory of change or logic chain.

**WHAT ARE THE OUTCOMES YOU WANT TO DELIVER UNDER THE COMMUNITIES AND PLACE INVESTMENT PRIORITY? SELECT ALL THAT APPLY.**

<b>Outcome</b>	<b>Tick if applicable</b>
Jobs created	X
Jobs safeguarded	
Increased footfall	X
Increased visitor numbers	X
Reduced vacancy rates	
Greenhouse gas reductions	X
Improved perceived/experienced accessibility	
Improved perception of facilities/amenities	X
Increased number of properties better protected from flooding and coastal erosion	
Increased users of facilities / amenities	X
Improved perception of facility/infrastructure project	
Increased use of cycleways or paths	
Increase in Biodiversity	X
Increased affordability of events/entry	
Improved perception of safety	
Reduction in neighbourhood crime	
Improved engagement numbers	X
Improved perception of events	X
Increased number of web searches for a place	X
Volunteering numbers as a result of support	X

Number of community-led arts, cultural, heritage and creative programmes as a result of support	X
Increased take up of energy efficiency measures	X
Increased number of projects arising from funded feasibility studies	X
Number of premises with improved digital connectivity	
None of the above	

**SELECT THE INTERVENTIONS YOU INTEND TO USE WHICH MEET THE COMMUNITIES AND PLACE INVESTMENT PRIORITY. YOU CAN SELECT AS MANY AS YOU LIKE.**

**Intervention**

*A full list of nation-specific interventions is available in the relevant annex to the Prospectus.*

E1: Funding for improvements to town centres and high streets, including better accessibility for disabled people, including capital spend and running costs.

E2: Funding for new, or improvements to existing, community and neighbourhood infrastructure projects

E3: Creation of and improvements to local green spaces, community gardens, watercourses and embankments, along with incorporating natural features into wider public spaces

E6: Support for local arts, cultural, heritage and creative activities.

E8: Funding for the development and promotion of wider campaigns which encourage people to visit and explore the local area

E9: Impactful volunteering and/or social action projects

E12: Community engagement schemes, regeneration

E13: Community measures to reduce the cost of living, including through measures to improve energy efficiency, and combat fuel poverty and climate change

E14 – Relevant feasibility studies

**DO YOU PLAN TO USE ANY INTERVENTIONS NOT INCLUDED IN THE COMMUNITIES AND PLACE LIST?**

**State the name of each of these additional interventions and a brief description of each of these**

N/A

**Explain how each intervention meets the Communities and Place investment priority. Give evidence where possible, including why it is value money and the outcomes you want to deliver.**

N/A

**Do you consider that any of these interventions may provide a subsidy to potential recipients of the funding under the intervention's planned activity?**

**All bids must also consider how they will deliver in line with subsidy control as [set out in the guidance](#).**

Yes

No

**Detail the assessment you undertook to consider whether the intervention is a subsidy and any specific measures you will take to make sure the subsidy is permitted.**

N/A

**HAVE YOU ALREADY IDENTIFIED ANY PROJECTS WHICH FALL UNDER THE COMMUNITIES AND PLACE INVESTMENT PRIORITY?**

**Describe these projects, including how they fall under the Communities and Place investment priority and the location of the proposed project.**

We have undertaken an Expression of Interest process across the Partnership to identify projects, interventions and outputs which have informed this Investment Plan – this includes some city wide projects as well as some focused in targeted areas of the city. The projects will align with the challenges and opportunities we have identified. We are currently undertaking due diligence to inform the final selection.

We will also look for joined up activity wherever appropriate with Supporting Business and People & Skills interventions to maximise impact and further value for money.

**Do you consider these projects may provide a subsidy to potential recipients of the funding under the proposed planned activity?**

**All bids must also consider how they will deliver in line with subsidy control as [set out in the guidance](#).**

Yes

No

**Detail the assessment you undertook to consider whether the proposed projects constitute a subsidy and any specific measures you will take to make sure the subsidy is permitted.**

For the Derby SPF fund Community & Place investment priority we will ensure, as part of our project appraisal process, that either overall projects or elements of delivery within those projects are compliant with subsidy control, prior to funding award and as part of ongoing monitoring of investment.

**WHAT ARE THE OUTCOMES YOU WANT TO DELIVER UNDER THE SUPPORTING LOCAL BUSINESS INVESTMENT PRIORITY? SELECT ALL THAT APPLY.**

<b>Outcome</b>	<b>Tick if applicable</b>
Jobs created	<b>X</b>
Jobs safeguarded	<b>X</b>
Increased footfall	<b>X</b>
Increased visitor numbers	<b>X</b>
Reduced vacancy rates	<b>X</b>
Greenhouse gas reductions	<b>X</b>
Number of new businesses created	<b>X</b>
Improved perception of markets	
Increased business sustainability	<b>X</b>
Increased number of businesses supported	<b>X</b>
Increased amount of investment	<b>X</b>
Improved perception of attractions	
Number of businesses introducing new products to the firm	<b>X</b>
Number of organisations engaged in new knowledge transfer activity	<b>X</b>
Number of premises with improved digital connectivity	
Number of businesses adopting new to the firm technologies or processes	<b>X</b>
Number of new to market products	<b>X</b>
Number of R&D active businesses	<b>X</b>
Increased number of innovation active SMEs	<b>X</b>
Number of businesses adopting new or improved products or services	<b>X</b>
Increased number of innovation plans developed	<b>X</b>
Number of early stage firms which increase their revenue following support	<b>X</b>
Number of businesses engaged in new markets	
Number of businesses engaged in new markets	
Number of businesses increasing their export capability	
Increased amount of low or zero carbon energy infrastructure installed	<b>X</b>



Number of businesses with improved productivity	<b>x</b>
Increased number of projects arising from funded feasibility studies	
Increased number of properties better protected from flooding and coastal erosion	
None of the above	

**SELECT THE INTERVENTIONS YOU INTEND TO USE WHICH MEET THE SUPPORTING LOCAL BUSINESS INVESTMENT PRIORITY. YOU CAN SELECT AS MANY AS YOU LIKE.**

**Intervention**

*A full list of nation-specific interventions is available in the relevant annex to the Prospectus.*

E16 – Open markets and town centre service and retail sector

E17 – Development and promotion of visitor economy (city centre)

E19 – Investment in research and development at the local level

E20 – R&D grants supporting innovative product and service development

E23 – Strengthening local entrepreneurial ecosystems

E26 – Growing the local social economy

E27 – Develop angel investor networks

E29 – Supporting de-carbonisation while growing the local economy

E30 – Business support measures to drive employment growth

**DO YOU PLAN TO USE ANY INTERVENTIONS NOT INCLUDED IN THE SUPPORTING LOCAL BUSINESS LIST?**

**State the name of each of these additional interventions and a brief description of each of these**

D1 – Supporting the targeting of national and FDI inward investment

We'd like to include the option for project proposals for targeting new to the city company investment, in key and emerging sectors within the Derby economy, and which provide further support for our Supporting Local Business four broad areas of action described in the next answer.

**Explain how each intervention meets the Supporting Local Business investment priority. Give evidence where possible, including why it is value money and the outcomes you want to deliver.**

Our proposed approach across all of our interventions supports both Missions of the Supporting Local Business national Investment Priorities. We will be encouraging increased finance into business to support innovation, green innovation, leading to improved productivity. We are also particularly determined to increase broad participation in entrepreneurship in Derby.

For the Derby SPF Fund, Supporting Local Business investment priority we have identified four broad areas for action. These have also been discussed with local partners and stakeholders. The areas of action are:

- Sustainability and green businesses
- Increased inclusion in entrepreneurship
- Business and visitors back to the city centre
- Finance for business innovation

Each of the long list of potential interventions provides the opportunity to identify projects that will support and progress these four priority action areas.

The Derby City Plan identifies clear priorities under the headline themes of Growth, Resilience, Green and Vibrancy. The business priority action areas are intended to support and contribute to the objectives of these overall Derby strategic themes. We want to increase inclusion in entrepreneurship, reaching out to parts of the community that might not so readily reach out engage with the potential of business start-up and support to do so. The Derby City Council Ascend programme is already working effectively with scale up entrepreneurs in the city and this will

provide the foundation to explore additional scale up, innovation and new green technologies through SPF supported projects, either delivered by the City Council or its local partners. And our City Centre Ambition / Vision work intends for a new impetus into Derby City Centre in the 2020s and again the SPF business support funding will work with early ideas and interventions to encourage more resilient small business activity that brings increased resident and visitor footfall into the city centre.

In projects that we support we will strongly encourage project match funding and particularly within this Supporting Local Business investment priority of the Derby SPF Fund, endeavouring to ensure that the impact of this part of the fund is maximised. We will also ensure good outcome and output return from SPF investments and interventions. Job creation, company investment, increased investment in companies from other sources and increased visits and spend in the Derby city centre for example will be priority outcomes, seeking to ensure good value for money and return for Derby and its communities. We will also look for joined up activity wherever appropriate with Communities & Place and People & Skills interventions to achieve joined up impact and further value for money.

**Do you consider that any of these interventions may provide a subsidy to potential recipients of the funding under the intervention's planned activity?**

**All bids must also consider how they will deliver in line with subsidy control as [set out in the guidance](#).**

Yes

No

**Detail the assessment you undertook to consider whether the intervention is a subsidy and any specific measures you will take to make sure the subsidy is permitted.**

For the Derby SPF fund Supporting Local Business investment priority we will ensure, as part of our project appraisal process, that either overall projects or elements of delivery within those projects are compliant with subsidy control, prior to funding award and as part of ongoing monitoring of investment. In doing this the City Council will draw on extensive recent experience of handling business supporting funds through for example its £24m Derby Economic Growth Fund and £5m Ascend Business Scale-Up Programme.

**HAVE YOU ALREADY IDENTIFIED ANY PROJECTS WHICH FALL UNDER THE SUPPORTING LOCAL BUSINESS INVESTMENT PRIORITY?**

**Describe these projects, including how they fall under the Supporting Local Business investment priority and the location of the proposed project.**

For the current financial year, we've identified only one business support project (part of the initial long list of Expressions of Interest that have come through within the responses received for the Communities and Place EOI call). The project is a relatively small-scale intervention to continue an existing project that helps SME's plan increased decarbonisation and sustainability within their company activities.

Our intention, in autumn 2022, working with our partners and within the context of the four Supporting Local Business broad areas for action described above, is to undertake a review of business support in Derby, evaluate delivery in recent years, particularly that enabled by ESIF funds, engage and discuss with the local SME community, look at how support is, where interventions are delivered well elsewhere and produce recommendations that will then inform a Derby Supporting Local Business EOI call for proposals in the final quarter of 2022/23.

We also anticipate that this will enable us to further refine both our interventions and outputs and outcomes that we are supporting. Those currently listed are high level and broadly where we think our focus is likely to be.

We will also look for joined up activity wherever appropriate with Community & Place and People & Skills interventions to achieve joined up impact and further value for money.

**Do you consider these projects may provide a subsidy to potential recipients of the funding under the proposed planned activity?**

All bids must also consider how they will deliver in line with subsidy control as [set out in the guidance](#).

Yes  No

**Detail the assessment you undertook to consider whether the proposed projects constitute a subsidy and any specific measures you will take to make sure the subsidy is permitted.**

Please see previous answer on subsidy control.

**WHAT ARE THE OUTCOMES YOU WANT TO DELIVER UNDER THE PEOPLE AND SKILLS INVESTMENT PRIORITY? SELECT ALL THAT APPLY.**

Outcome	Tick if applicable
Number of economically inactive individuals in receipt of benefits they are entitled to following support	<input checked="" type="checkbox"/>
Increased active or sustained participants of UKSPF beneficiaries in community groups [and/or] increased employability through development of interpersonal skills	<input checked="" type="checkbox"/>
Increased proportion of participants with basic skills (English, maths, digital and ESOL)	<input checked="" type="checkbox"/>
Number of people in supported employment [and] number of people engaging with mainstream healthcare services	<input checked="" type="checkbox"/>
Number of people sustaining engagement with keyworker support and additional services	<input checked="" type="checkbox"/>
Number of people engaged in job-searching following support	<input checked="" type="checkbox"/>
Number of people in employment, including self-employment, following support	<input checked="" type="checkbox"/>
Number of people sustaining employment for 6 months	<input checked="" type="checkbox"/>
Increased employment, skills and/or UKSPF objectives incorporated into local area corporate governance	<input checked="" type="checkbox"/>
Number of people in education/training	<input checked="" type="checkbox"/>
Increased number of people with basic skills (English, maths, digital and ESOL)	<input checked="" type="checkbox"/>
Fewer people facing structural barriers into employment and into skills provision	<input checked="" type="checkbox"/>
Increased number of people familiarised with employers' expectations, including, standards of behaviour in the workplace	<input checked="" type="checkbox"/>
Fewer people facing structural barriers into employment and into skills provision	<input checked="" type="checkbox"/>
Number of people gaining a qualification or completing a course following support	<input checked="" type="checkbox"/>
Number of people gaining qualifications, licences, and skills	<input checked="" type="checkbox"/>
Number of economically active individuals engaged in mainstream skills education, and training.	<input checked="" type="checkbox"/>
Number of people engaged in life skills support following interventions	<input checked="" type="checkbox"/>
Number of people with proficiency in pre-employment and interpersonal skills (relationship, organisational and anger-management, interviewing, CV and job application writing)	<input checked="" type="checkbox"/>
<b>Multiply only</b> - Increased number of adults achieving maths qualifications up to, and including, Level 2.	
<b>Multiply only</b> - Increased number of adults participating in maths qualifications and courses up to, and including, Level 2.	

None of the above	
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**SELECT THE INTERVENTIONS YOU INTEND TO USE WHICH MEET THE PEOPLE AND SKILLS INVESTMENT PRIORITY. YOU CAN SELECT AS MANY AS YOU LIKE.**

**Intervention**

*A full list of nation-specific interventions is available in the relevant annex to the Prospectus.*

E33: Employment support for economically inactive people

E34: Courses including basic, life & career skills

E35: Enrichment & volunteering activities

E37: Tailored support for the employed to access courses

E38: Local areas to fund local skills needs

E39: Green skills courses

E40: Retraining support - high carbon sectors

**DO YOU PLAN TO USE ANY INTERVENTIONS NOT INCLUDED IN THE PEOPLE AND SKILLS LIST?**

**State the name of each of these additional interventions and a brief description of each of these**

- 1. Local Areas to Fund Local Employment Support:** Similarly to E38, this is an additional intervention to ensure employment support meets local need, particularly in relation to ward-based interventions, NEET support and those furthest away from the job market. All these locally need-driven projects will enable us to deliver the ethos of levelling up and ensure we are able to fund any local gaps in terms of employment support provision.

**Explain how each intervention meets the People and Skills investment priority. Give evidence where possible, including why it is value money and the outcomes you want to deliver.**

- 1. Local Areas to Fund Local Employment Support:** This intervention reflects the very essence of levelling up, in supporting us to level-up locally highlighting the important of reducing inequalities in terms of employment and health inequalities at a local level. This intervention will aim to deliver to those cohorts that we are highlighting as priority cohorts, linking to challenges we have identified locally for Derby, in relation to People and Skills, and can encompass NEET, Refugees and provision delivery community/ward-based or provision-embedded interventions that have been identified as a priority to support our more disadvantaged communities and most deprived wards.

Outcomes to include;

- All current outputs listed
- Percentages linked to age, gender and ethnicity, presentative of the local demographic

Supporting evidence for this intervention is listed under section: Local Challenges.

Value for money will be provided through collaborative service and community embedded delivery, utilising existing delivery partnerships and infrastructure.

**Do you consider that any of these interventions may provide a subsidy to potential recipients of the funding under the intervention's planned activity?**

**All bids must also consider how they will deliver in line with subsidy control as [set out in the guidance](#).**

Yes X

No

**Detail the assessment you undertook to consider whether the intervention is a subsidy and any specific measures you will take to make sure the subsidy is permitted.**

For the Derby SPF, we will ensure, as part of our project appraisal process, that either overall projects or elements of delivery within those projects are compliant with subsidy control, prior to

funding award and as part of ongoing monitoring of investment. In doing this the City Council will draw on extensive recent experience of handling business supporting funds through for example its £24m Derby Economic Growth Fund and £5m Ascend Business Scale-Up Programme.

**ENGLAND ONLY:** People and Skills interventions can only be used in 2022-2023 and 2023-2024 if you have identified a local voluntary and community provision, previously supported by the European Social Fund, at risk of closure. If you have not identified a suitable provision, you will not be able to select interventions for 2022-2023 and 2023-2024 and your investment plan will not be approved.

**HAVE YOU ALREADY IDENTIFIED ANY PROJECTS for 2024-2025 WHICH FALL UNDER THE PEOPLE AND SKILLS INVESTMENT PRIORITY?**

Yes

**Describe the projects for 2024-25, including how they fall under the People and Skills investment priority and the location of the proposed project.**

Having asked for further guidance from the UKSPF team on People and Skills funding for 2022-2023 and 2023-2024, We were provided the following guidance:

*Lead local authorities have the flexibility to fund targeted people and skills provision in 2022-23 and 2023-24 where this is a continuing priority for 2024-25 and may be at significant risk of ending due to the tail off of EU funds. This flexibility may only be used where provision is currently delivered by voluntary and community organisations, having regard for the focus of the Fund and available funding.*

Therefore the definition provided by UKSPF team, is wider than 'at risk of closure' and the VCS organisations that we intend to support are those in current receipt of ESF funding, where projects and project staff are at risk, rather than the whole organisation. We have a significant BBO (Building Better Opportunities) Programme that is currently delivered via the VCS in Derby that is due to come to an end. Although we are not in a position to continue funding the BBO programme in its current form (due to the limited funding available), we wish to continue supporting those VCOs to deliver similar outcomes, differently, via a more affordable and sustainable model of employment support, taking on board wider priorities of SPF including business support and communities and place.

We have allocated an amount of funding under People and Skills for Year 2: 2023-2024. To ensure we have a fair and transparent process we intend to undertake an Open Call to allocate the funding as there a number of VCO that are impacted by the end of ESF. We have estimated some outputs and outcomes against each interventions, based on costed model of current delivery.

**Do you consider these projects may provide a subsidy to potential recipients of the funding under the proposed planned activity?**

All bids must also consider how they will deliver in line with subsidy control as [set out in the guidance](#).

Yes

**Detail the assessment you undertook to consider whether the proposed projects constitute a subsidy and any specific measures you will take to make sure the subsidy is permitted.**

See previous answer on subsidy control

**HAVE YOU IDENTIFIED A LOCAL VOLUNTARY PROVISION AT RISK AS PART OF YOUR PEOPLE AND SKILLS INVESTMENT PRIORITIES?**

Yes X

No

**(If Yes) Describe the local voluntary provision at risk and your rationale for supporting it.**

Building Better Opportunities (BBO) is a national co-financed ESF/ National Lottery provision. In Derby it delivers three strands of support;

1. Opportunity and Change – supporting those with multiple and complex needs to increase their confidence overcome barriers and move into employment. (Multiple and complex needs in relation to this project includes: Homeless, ex-offenders, substance abuse, MH and domestic abuse).
2. Towards Work – 121 support working with individuals with barriers to employment to move them towards and into employment, with a specific focus on disability
3. Money Sorted – 121 support provided to stabilise finances, open bank accounts etc, and move into employment.

Rationale for support: although we are unable to support all strands of BBO in their current form, we do recognise the impactful work with marginalised groups and the need for this to continue in some guise to support those furthest away from the job market, especially those with multiple and complex needs. We are therefore looking at working with the same local VCS delivery partners, who delivered BBO in the city, to continue their good work in supporting those with barriers, to move into employment.

**Provide the European Social Fund Project Names and Project References for this voluntary and community provision at risk.**

Name: Building Better Opportunities.  
 Project name: Opportunity and Change – A pathway to work  
 Project ID: 001028583  
 Project name: Towards Work  
 Project ID: 10285845  
 Money Sorted in D2N2  
 Project number 10285798

**What year do you intend to fund these projects? Select all that apply.**

2022-2023	2023-2024 ✓	2024-2025 ✓
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**Describe the projects for 2022-2023 and 2023-2024, including how they fall under the People and Skills investment priority and the location of the proposed project.**

BBO is a £28M project, and we cannot continue funding utilising SPF, in its current form. We are therefore working with local VCS delivery partners on how best to utilise what limited SPF funding we have to deliver similar outcomes for the same cohort going forward. This consultation is on-going and will conclude prior to contractual delivery in 2023-2024, where further details of proposed projects can be provided. Outcomes and Outputs have been based on initial conversations, however we are seeking greater value for money, by embedding provision within existing services

The location of delivery will be within Derby as we are choosing to work with VCS partners based in Derby, to ensure engagement with local residents.

**Do you consider these projects may provide a subsidy to potential recipients of the funding under the proposed planned activity?**

**All bids must also consider how they will deliver in line with subsidy control as [set out in the guidance](#).**

Yes	No X
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**Detail the assessment you undertook to consider whether the proposed projects constitute a subsidy and any specific measures you will take to make sure the subsidy is permitted.**

N/A

**SCOTLAND, WALES & NORTHERN IRELAND ONLY**

**HAVE YOU ALREADY IDENTIFIED ANY PROJECTS WHICH FALL UNDER THE PEOPLE AND SKILLS INVESTMENT PRIORITY?**

Yes	No
<b>Describe the projects, including how they fall under the People and Skills investment priority and the location of the proposed project.</b>	
N/A	
<b>Do you consider these projects may provide a subsidy to potential recipients of the funding under the proposed planned activity? All bids must also consider how they will deliver in line with subsidy control as <a href="#">set out in the guidance</a>.</b>	
Yes	No
<b>Detail the assessment you undertook to consider whether the proposed projects constitute a subsidy and any specific measures you will take to make sure the subsidy is permitted.</b>	
N/A	

### Approach to delivery and governance

In this section, we will ask you about:

- Structures you have in place to support delivery
- Support you have from stakeholders and the local community
- How you've engaged with MPs as part of your investment plan
- Opportunities you have identified to work with other places

Places need to show how MPs that cover the lead local authority have been engaged on the investment plan and whether they support it. More detail on the role of MPs can be found [here](#).

### **STAKEHOLDER ENGAGEMENT AND SUPPORT**

**Have you engaged with any of the following as part of your investment plan? Select all that apply.**

Public sector organisations	Private sector organisations	Civil society organisations
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**Describe how you have engaged with any of these organisations. Give examples where possible.**

We engaged with the City Partnership Board and undertook a number of stakeholder sessions to raise awareness regarding UKSPF and prioritise our areas of focus. This included:

**Public sector organisations**

- Derby College
- University of Derby
- Integrated Care Derbyshire
- Derbyshire Police
- Derby City Council
- Marketing Derby
- Environment Agency
- Housing Associations
- Active Derbyshire
- BIDs
- Range of existing providers

**Private sector organisations**

- Local Enterprise Partnership
- Chamber of Commerce

- Rolls Royce
- Toyota
- Alstom
- Small Business Federation

Civic society organisations

- Community Action Derby
- YMCA Derbyshire
- Community Alliances
- Faith sector organisations
- Range of existing providers

**Summarise the governance structures you have in place, including how any advisory panels or associated partnership groups are made up**

UKSPF in Derby is overseen by the Derby Partnership Board consisting of city leaders from public, private and voluntary and community sectors, including local MPs and political Group Leaders.

**Confirm all MPs covering your lead local authority have been invited to join the local partnership group.**

Yes  No

**Are there MPs who are not supportive of your investment plan?**

Yes  No

**(If Yes) Who are the MPs that are not supportive and outline their reasons why.**

N/A

**PROJECT SELECTION**

**Are you intending to select projects in any way other than by competition for funding?**

Yes  No

**(If Yes) Describe your approach to selecting projects, and why you intend to do it this way.**

The main selection of projects will be through competition (either EOI or call for bids). This will include engagement with partners to ensure openness and transparency.

**DO YOU INTEND TO WORK WITH OTHER PLACES ON ANY OF THE INTERVENTIONS WHICH FALL UNDER THE COMMUNITIES AND PLACE INVESTMENT PRIORITY?**

**Which interventions do you intend to collaborate on? Select all that apply.**

Intervention	Tick if applicable
<i>A full list of nation-specific interventions is available in the relevant annex to the Prospectus.</i>	



<b>Describe any interventions not included in this list?</b>	
<b>Who are the places you intend to collaborate with?</b>	

**DO YOU INTEND TO WORK WITH OTHER PLACES ON ANY OF THE INTERVENTIONS WHICH FALL UNDER THE SUPPORTING LOCAL BUSINESS INVESTMENT PRIORITY?**

**Which interventions do you intend to collaborate on? Select all that apply.**

<b>Intervention</b>	<b>Tick if applicable</b>
<i>A full list of nation-specific interventions is available in the relevant annex to the Prospectus.</i>	

**Describe any interventions not included in this list?**

<b>Who are the places you intend to collaborate with?</b>
Indicatively the other Derbyshire authorities and the D2N2 area.

**DO YOU INTEND TO WORK WITH OTHER PLACES ON ANY OF THE INTERVENTIONS WHICH FALL UNDER THE PEOPLE AND SKILLS INVESTMENT PRIORITY?**

<b>Which interventions do you intend to collaborate on? Select all that apply.</b>	
<b>Intervention</b>	<b>Tick if applicable</b>
<i>A full list of nation-specific interventions is available in the relevant annex to the Prospectus.</i>	
<b>Describe any interventions not included in this list?</b>	
<b>Who are the places you intend to collaborate with?</b>	
Indicatively the other Derbyshire authorities and the D2N2 area.	

<b>PUBLIC SECTOR EQUALITY DUTY</b>
<b>How have you considered your public sector equality duty in the design of your investment plan?</b>
Evidence gathering has included reference to equality diversity and inclusion outcome data to guide the identification of relevant outcomes, interventions and projects.  Expressions of interest have included reference to impacts for equality groups in the city.
<b>How will you consider your public sector equality duty when implementing your investment plan, including in the selection of projects?</b>
We will ensure all projects, or delivery of specific elements, will be subject to equality impact assessments.

<b>RISKS</b>
<b>Have you identified any key risks that could affect delivery, for example lack of staff or expertise?</b>
<b>Yes</b>   No
<b>(If Yes) Describe these risks or issues, including the contingency measures you have in place to mitigate them.</b>
<b>Oversubscription and need to prioritise</b> Mitigation – clear messaging to all stakeholders of the need to prioritise, open and transparent bidding process, clear criteria for assessment
<b>Potential negative impacts of withdrawal of funding</b>

Mitigation – communication to encourage use of exit strategies, early bidding processes to maximise notice periods for any potential provider withdrawal

**Capacity to deliver (including recruitment and retention of relevant staff)**

Mitigation – additional FTEs to inform coordination and evaluation of SPF, ensuring bids include sufficient capacity to deliver, engagement with comms to market posts to attract the best candidates.

**Rising inflation and impact of supply chain issues**

Mitigation – challenge of projected costs to ensure contingencies factored in where appropriate, regular review of the Investment Plan to review spend profiles / planned interventions.

**Failure to deliver interventions or outputs set out in Investment Plan**

Mitigation – specification of outputs as part of EOI/bidding process, regular dialogue with providers and monitoring of projects with appropriate challenge if outputs are not delivered.

We have established a programme risk register which will be monitored regularly throughout delivery of the UKSPF programme. We will also expect individual projects to have their own risk management processes in place.

**Have you identified any key fraud risks that could affect UKSPF delivery?**

Yes

No

**(If Yes) Describe these risks or issues, including the contingency measures you have in place to mitigate them.**

**Internal key fraud risks associated with the accountable body function**

Mitigation – the accountable body function will be incorporated into The Council's processes and will therefore comply with The Council's decision making framework and financial procedure rules.

**External key fraud risks associated with granting funds to external partners**

Mitigation – any grant issued to external partners will be subject to rigorous due diligence, a formal grant agreement and ongoing monitoring to ensure the delivery of outputs. The grant agreements will include a penalty clause that will enable us to clawback the funding in the event of the agreed outputs not being achieved.